



# First Report Occurrence of *CIT* and *DHA AmpC* $\beta$ -lactamase Gene in *Escherichia coli* and *Klebsiella pneumoniae* from Clinical Sample in South Eastern, Nigeria

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## Authors' contributions

This work was carried out in collaboration among all authors. Authors POA, RCO helped to conceptualized the data. Author POA did Data curation and Formal analysis. Authors HOU, ACN, IUP, IRI performed Methodology. Author POA did project administration. Authors IRI supervised the data and wrote the original draft. Authors IRI and IUP wrote, reviewed and edited the manuscript. All authors investigated the study, did literature searches and did data Validation and Visualization. All the authors reviewed and approved the final draft, and are responsible for all aspects of the work.

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## ABSTRACT

**Background and Objectives:** Over time, the enzymes AmpC  $\beta$ -lactamases have become more significant, due to their roles in antibiotic resistance among enterobacteriaceae especially in *Escherichia coli* and *Klebsiella pneumoniae*. Due to increase multidrug resistant express by AmpC  $\beta$ -lactamases producing bacteria strain, the patients care in several hospital has been severely hampered. Hence, this study was designed to assess the occurrence of *CIT* and *DHA* AmpC  $\beta$ -lactamase gene in *Escherichia coli* and *Klebsiella pneumoniae* from clinical sample in south eastern, Nigeria

**Methodology:** This study was conducted over an 8-month period on sixteen (16) non-repetitive clinical isolates of *Escherichia coli* and *Klebsiella pneumoniae* collected from medical microbiology laboratory unit of Alex Ekweume Federal University Teaching Hospital in Abakaliki, Nigeria. The isolates were further identified using Standard microbiological Techniques and screened for cefoxitin resistance using a disc diffusion assay, followed by phenotypic tests using phenyl boronic acid assays for confirmation of AmpC  $\beta$ -lactamases production. *Escherichia coli* and *Klebsiella pneumoniae* strains were further screen for AmpC  $\beta$ -lactamase *CIT* and *DHA* genotype by polymerase chain reactions

**Result:** Of the sixteen (16) confirmed phenotypic AmpC  $\beta$ -lactamase producing bacteria, 100% of the AmpC  $\beta$ -lactamase genes (*DHA* and *CIT*) were detected in *E. coli* from wound and urine samples from both male and female patients. The overall proportion of AmpC  $\beta$ -lactamases gene in *Klebsiella pneumoniae* were *DHA* (100 %) and *CIT* (100 %), in both male and female.

**Conclusion:** This study indicate the occurrence of *CIT* and *DHA* AmpC genotype. The detection of AmpC  $\beta$ -lactamases in this study is of clinically importance as such bacteria are often MDR. Thus, being aware of the presence of AmpC  $\beta$ -lactamase-producing bacteria could be very beneficial for achieving more accurate epidemiological results as well as controlling their spread, while surveillance is required to track any further dissemination and emergence of other AmpC  $\beta$ -lactamase genotypes.

**Keywords:** AmpC  $\beta$ -lactamases; *Escherichia coli*; *Klebsiella pneumoniae*; *CIT*; *DHA*.

## 1. INTRODUCTION

*Escherichia coli* and *Klebsiella pneumoniae* are members of the enterobacteriaceae family. This two medical important bacteria genera are associated with both nosocomial and opportunistic infections. *Escherichia coli* and *Klebsiella pneumoniae* are the common etiologic agent of various human disease such as infantile enteritis, septicemia, urinary tract infections, meningitis and bacteremia [1, 2]. Also, they are implicated in diseases and infections among patients in the hospital with immunodeficiency and underlying conditions such as chronic pulmonary disorders and diabetes [1, 2]. In recent time, the action of several antibiotic in the treatment of these bacterial infections has been stall or truncated due to the production of  $\beta$ -lactamase especially AmpC  $\beta$ -lactamase. This enzymes are distinguished by their ability to inactivate cephamycins as well as other extended-spectrum cephalosporins and their resistance to clavulanic acid. The occurrence of AmpC  $\beta$ -lactamase resistant determinant has severely hampered patients care as they are often multidrug resistant. Over a decade, there

has been an upsurge in the global prevalence of AmpC  $\beta$ -lactamase producing *Escherichia coli* and *Klebsiella pneumoniae* with significant morbidity and mortality rate among patients [2,3,4,5,6,7,8,9,10].

The AmpC  $\beta$ -lactamase genotypes may be plasmids or chromosomal mediated but based on their genetic similarities to species specific AmpC  $\beta$ -lactamase, plasmid variants groups include *Morganella morganii* *DHA* variants, *CIT* variants (*CMY-2* types) from *Citrobacter freundii*, *Hafnia alvei*, *ACC* variants from *Aeromonas* species and the *Enterobacter* species *EBC* variants (*ACT-1* type, *MIR-1*) are widely dissemination in different geographical settings [2,6,10,11,12] but the knowledge of some AmpC  $\beta$ -lactamase genotype circulating this area remain unknown. The genotypes *FOX*, *ACC*, *EBC*, *DHA*, *MOX*, *CIT* and *CMY* are the most frequent AmpC  $\beta$ -lactamase reported elsewhere [2, 6,10] but there has been no clinical research on the occurrence of AmpC  $\beta$ -lactamase genotype to date in Abakaliki, South eastern, Nigeria. Accurate detection of AmpC genotype (*CIT* and *DHA*) may not only deemed essential for managing the health of patients

suffering from *Escherichia coli* and *Klebsiella pneumoniae* infections but is also helpful for analyzing the regional distribution of AmpC  $\beta$ -lactamase genotype through epidemiological research.

## 2. MATERIALS AND METHODS

### 2.1 Bacterial Identification

The study was approved by the Ethical Research committee of Ebonyi State Ministry of Health, Abakaliki with approval number SMOH/ERC/042/21 and was carried out in line with the Declaration of Helsinki [13,14]. This study was performed over an 8-months period on sixteen (16) non-repetitive clinical isolates of *Escherichia coli* and *Klebsiella pneumoniae* collected from medical microbiology laboratory unit of Alex Ekweume Federal University Teaching Hospital, Abakaliki in southeastern Nigeria. It is located at 6.32°N latitude and 8.12°E longitude and is situated at an elevation of 117 meters above sea level. The bacteria isolates were further identified using Standard microbiological Techniques [15,16]. The confirmed isolates were stored until further test [15].

### 2.2 AmpC Production Testing

*Escherichia coli* and *Klebsiella pneumoniae* isolates were initially screened for the potential of AmpC  $\beta$ -lactamases production using a cefoxitin disk (30  $\mu$ g) (Oxoid, UK) placed on sterile solidified Mueller-Hinton agar (Merck Co., Germany) containing the bacteria isolates [17]. After 24 hours of incubation, inhibition zone diameter of greater than 18 mm were phenotypically inferred as AmpC  $\beta$ -lactamases producers and were subjected to the confirmatory phenotypic test using inhibitor-based method on a disk containing boronic acid [18]. This was performed as follows: a standardized 0.5 McFarland turbidity suspension of the test isolates was evenly spread on a sterilized Mueller-Hinton agar plates. The test was performed by placing two disks of cefoxitin (30  $\mu$ g) (Oxoid, UK) on the sterile agar surface, one with and one without phenylboronic acid (400 g) (SIGMA-ALDRICH, Co., U.S.A) and incubated for 24 hours. After overnight incubation, growth of inhibition zone of 5 mm or greater around the antibiotic with phenylboronic acid when compared to the disk containing only cefoxitin, the isolate was considered an AmpC producer [17,18].

### 2.3 Extraction of Bacterial DNA

All DNA from pure culture phenotypic AmpC  $\beta$ -lactamases producing *Escherichia coli* and *Klebsiella pneumoniae* were extracted using the ZR fungal/bacterial DNA MiniPrep kit [14,19]. The AmpC  $\beta$ -lactamase encoding genes (*CIT* and *DHA*) were separately amplified using a Mastercycler  $\text{®X50}$  thermal cycler (Azure Biosystem, Dublin, CA) utilizing the appropriate primers from Invitrogen, U.S. A for *CIT* gene F-TGGCCAGAACTGACAGGCAAA; R- TTTCTCCTGAACGTGGCTGGC; *DHA* gene; F- AACTTTCACAGGTGTGCTGGGT; R- CCGTACGCATACTGGCTTTGC [20]. The amplification process was performed as described by Pérez-Pérez and Hanson [20]. The PCR products were separated on 1.5% agarose gel prepared in 1X TBE (Tris/Boric/EDTA) buffer and visualized under UV trans-illuminator using a gel documentation system.

## 3. RESULTS

### 3.1 Occurrence of AmpC $\beta$ -lactamase Genes in Isolates of *E. coli* and *K. pneumoniae*

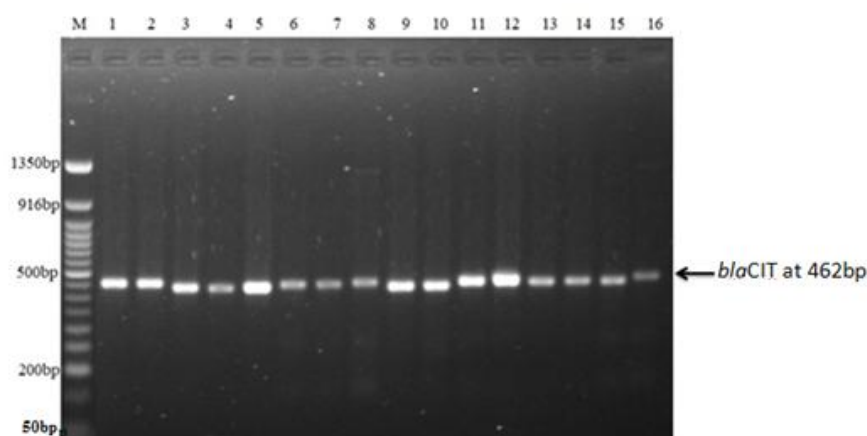
Of the sixteen (16) confirmed phenotypic AmpC  $\beta$ -lactamase producing bacteria, 100 % of the following AmpC  $\beta$ -lactamase genes (*bla<sub>DHA</sub>*, *bla<sub>CIT</sub>*) were detected in *E. coli* from urine and wound samples of both male and female patients as shown in Table 1. The overall proportion of AmpC  $\beta$ -lactamases gene were *bla<sub>DHA</sub>* (100 %) and *bla<sub>CIT</sub>* (100 %), in both male and female as shown in Table 2.

## 4. DISCUSSION

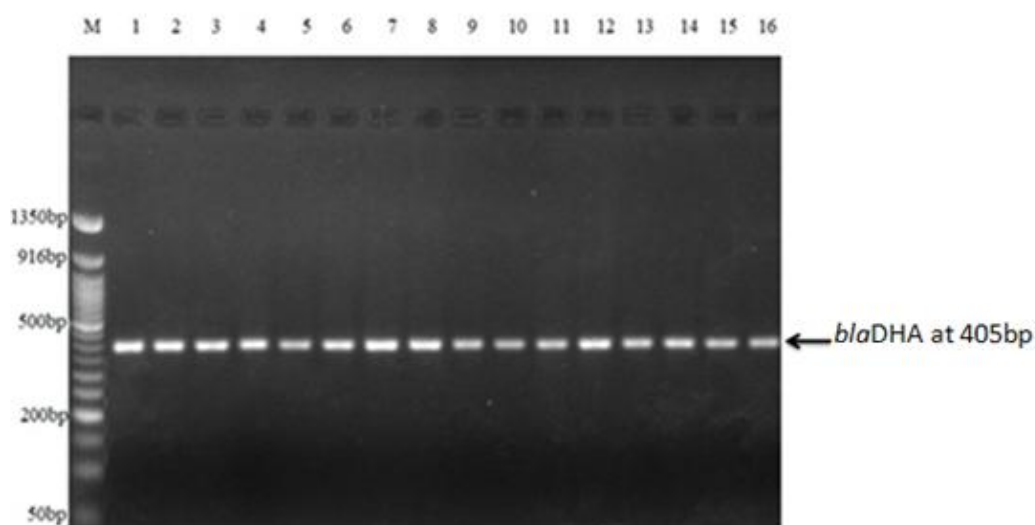
AmpC  $\beta$ -lactamases gene amplified by PCR revealed high occurrence rate of *bla<sub>DHA</sub>* (100 %), and *bla<sub>CIT</sub>* (100 %). Our findings reiterate with report from other studies; a study in Iran reported *CIT* and *DHA* as the most common AmpC genotype in *E. coli* [21]. Earlier report in Tehran Northern Iran also showed abundance of *bla<sub>DHA</sub>* and *bla<sub>CIT</sub>* in *Klebsiella* species [22]. Also, *CIT* and *DHA* AmpC  $\beta$ -lactamases has been found in Bahrain in *E. coli* and *K. pneumoniae* resistant to cefoxitin [10]. However, geographic diversity has been discovered through studies conducted in various parts of the world on the occurrence of AmpC  $\beta$ -lactamases genotype. Earlier studies has shown widespread of *bla<sub>CIT</sub>* subtype in Ireland, United Kingdom, Canada and United State of America [23, 24] while in India *bla<sub>DHA</sub>* was identified in *E. coli* 38.0 % and *Klebsiella* species 46.7 % [25].

**Table 1. Occurrence of AmpC  $\beta$ -lactamase genes in *E. coli***

| Clinical Sample | Gender | Bacteria coding | <i>blaDHA</i> (%) | <i>blaCIT</i> (%) |
|-----------------|--------|-----------------|-------------------|-------------------|
| Urine           | Male   | EC1             | 1(12.5)           | 1(12.5)           |
|                 |        | EC2             | 1(12.5)           | 1(12.5)           |
|                 | Female | EC3             | 1(12.5)           | 1(12.5)           |
|                 |        | EC4             | 1(12.5)           | 1(12.5)           |
| Wound swab      | Male   | EC5             | 1(12.5)           | 1(12.5)           |
|                 |        | EC6             | 1(12.5)           | 1(12.5)           |
|                 | Female | EC7             | 1(12.5)           | 1(12.5)           |
|                 |        | EC8             | 1(12.5)           | 1(12.5)           |
| <b>Total</b>    |        | <b>(n=8)</b>    | <b>8(100)</b>     | <b>8(100)</b>     |



**Plate 1. Gel image amplification of *blaCIT* at about 462bp. A 50bp ladder was used to estimate the base pair size of the amplicons. Lane M – 50bp molecular marker, Lane 1 – 8 = *E. coli*, Lane 9 – 16 = *K. pneumoniae* isolates**



**Plate 2. Gel image amplification of *blaDHA* at about 302bp. A 50bp ladder was used to estimate the base pair size of the amplicons. Lane M – 50bp molecular marker, Lane 1 – 8 = *E. coli*, Lane 9 – 16 = *K. pneumoniae* isolates**

**Table 2. Occurrence of AmpC  $\beta$ -lactamase genes in *K. pneumoniae***

| Clinical Sample | Gender | Bacteria coding | DHA (%)       | blaCIT (%)    |
|-----------------|--------|-----------------|---------------|---------------|
| Urine           | Male   | K9              | 1(12.5)       | 1(12.5)       |
|                 |        | K10             | 1(12.5)       | 1(12.5)       |
|                 | Female | K11             | 1(12.5)       | 1(12.5)       |
|                 |        | K12             | 1(12.5)       | 1(12.5)       |
| Wound swab      | Male   | K13             | 1(12.5)       | 1(12.5)       |
|                 |        | K14             | 1(12.5)       | 1(12.5)       |
|                 | Female | K15             | 1(12.5)       | 1(12.5)       |
|                 |        | K16             | 1(12.5)       | 1(12.5)       |
| <b>Total</b>    |        | <b>(n=8)</b>    | <b>8(100)</b> | <b>8(100)</b> |

The origin of *bla<sub>DHA</sub>* as plasmid-borne AmpC genotype has been linked to acquisition of chromosomal AmpC  $\beta$ -lactamases gene from *Morganella Morganii*'s [26]. In a study conducted in the year 2004 and 2008, it was discovered that pathogenic bacteria producing *bla<sub>DHA</sub>* showed high mortality among infected patients over those organism harboring *bla<sub>CMY-1</sub>* gene [27,28] and has raise concerns about the dissemination AmpC  $\beta$ -lactamases mediated by an inducible plasmid. According to another study, all *Escherichia coli* isolates tested positive for the *bla<sub>CIT</sub>* family [29,30]. As noted in this study, *DHA* and *CIT* AmpC  $\beta$ -lactamases gene were commonly identified, indicating rapid plasmid expression and gene dissemination in recent times in the study area.

In spite of the fact that they seems to be a variation in the occurrence of *DHA* and *CIT* reported in this study over other studies. Such discrepancies could be linked to the length of the study which have an impact on the prevalence of the gene, the geographical area, number of samples, the bacteria isolate evaluated and the AmpC  $\beta$ -lactamases genotype detected. As such it will be difficult to comparing the occurrence of AmpC  $\beta$ -lactamases gene across studies.

According to our findings, the *CIT* and *DHA* gene appears to be an important resistant determinant in plasmid mediated AmpC  $\beta$ -lactamases producing bacteria dissemination. The detection of AmpC  $\beta$ -lactamases in this study is of clinically importance, as such bacteria are often MDR due to mutations that lower the production of porin, and can lead to resistance in such strains towards broader cephalosporin,  $\beta$ -lactamase inhibitors and other antibiotic class.

## 5. CONCLUSION

The study provides data on the first reported occurrence of *DHA* and *CIT* types AmpC isolates in southeastern Nigeria. The dissemination

of *DHA* and *CIT* AmpC  $\beta$ -lactamases genes beyond the hospital or across the country via conjugation may become a significant public health issue. As a result of identifying *DHA* and *CIT* types of AmpC  $\beta$ -lactamases may assist physicians in prescribing suitable antibiotics that will reduce selective pressure that heightens antibiotic resistance among bacteria isolates while surveillance is recommended to track any further dissemination and emergence of other AmpC  $\beta$ -lactamase genotypes.

## 6. LIMITATIONS

The lack of data on the sequencing of AmpC cluster genes was one of the study's limitations. Furthermore, due to a lack of funding, only the presence of *CIT* and *DHA* AmpC genes was investigated, while other AmpC genes, chromosomal hyperproduction or purine loss mutations, were not investigated

## CONSENT

As per international standard or university standard, patients' written consent has been collected and preserved by the author(s).

## ETHICAL APPROVAL

In compliance with international standard or university standard written ethical approval has been collected and preserved by the author (s).

## COMPETING INTERESTS

Authors have declared that no competing interests exist.

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